

January 11, 1999

Assistant Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Non-Provisional Utility Patent Application
Appl. No. To be assigned; Filed; Herewith
For: Nucleic Acids, Kits, and Methods for the Diagnosis, Prognosis and
Treatment of Glaucoma and Related Disorders
Inventor(s): NGUYEN *et al*
Our Ref: 07425.0057

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.
Patent and Trademark Office:

1. Utility patent Application Transmittal (PTO/SB/05);
2. U.S. Utility Patent Application entitled:

Nucleic Acids, Kits, and Methods for the Diagnosis, Prognosis and Treatment of
Glaucoma and Related Disorders;

which is a continuation-in-part of co-pending application 08/938,669, filed
September 26, 1997;

and naming as inventor(s):

Thai D. Nguyen; Ron R. Polansky; Pu Chen; Hua Chen
the application comprising:

- a. An 85 page specification containing:
 - (i) 55 pages of description prior to the sequence listings;

- SECRET**

This patent application is being submitted under 37 C.F.R. § 1.53(b) without Declaration and without filing fee. Applicants await notification, from the Patent and Trademark Office, of the period of time within which to file the missing parts.

Frans Kest

Enclosures

Please type a plus sign (+) inside this box ☐

PTO/SB/05 (2/98)
Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(h))

Attorney Docket No. 07425.0057

First Named Inventor or Application Identifier Nguyen

Title Nucleic Acids, Kits and Methods for the Diagnosis, Prognosis and Treatment of Glaucoma and Related Disorders

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☐ *Fee Transmittal Form (Form PTO-1082)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 85]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 23]
4. ☒ Oath or Declaration [Total Pages]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named
in the prior application, see 37 CFR 1.63(d)(2) and
1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy
of the oath or declaration is supplied under Box 4b, is considered
as being part of the disclosure of the accompanying application
and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above
copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Two)
(should be specifically itemized)
14. ☐ *Small Entity ☐ Statement filed in
Statement(s) prior application,
Status still proper
and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF
ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

- ☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 08/938,669
- Prior Application Information: Examiner: Shibuya Group/Art Unit: 1635

18. CORRESPONDENCE ADDRESS

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